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FACSIMILE FROM: Francis T. Coppa

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Group Ant Unit 1775

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PAGES TO FOLLOW:

16DOCKET NUMBER: RD-26,970SERIAL NUMBER: 09/681,821

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RD-26,970

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of :  
J. C. Zhao et al : Group Art Unit: 1775  
Application No. <sup>09</sup>06/681,821 : Examiner: J. McNeil  
Filed: June 11, 2001 : Response to Paper No. 9  
For Diffusion Barrier Coatings, and  
Related Articles and Processes

AMENDMENT UNDER 37 CFR 1.116

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In response to the Office Action dated September 24, 2003,  
please enter the following amendment to the application, and consider the  
accompanying remarks.

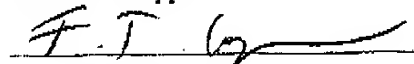
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## CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that this paper (along with any paper referred to as being attached or  
enclosed) is being transmitted by facsimile to the United States Patent and Trademark Office  
on the date shown below.

Name of person signing certification: Francis T. Coppa

Signature:



Date:

November 23, 2003